



10 Essential Tips For Legal Compliance In The Vapor Industry

1 UNDERSTAND VAPOR PRODUCT REGULATIONS

Understand the laws that govern selling vapor products, including laws enforced by the U.S. Food and Drug Administration (FDA), the Alcohol and Tobacco Tax and Trade Bureau (TTB), and the Drug Enforcement Administration (DEA), among others. Stay updated on evolving regulations to ensure ongoing compliance.

2 EMPLOYEE TRAINING AND EDUCATION

Provide regular training and education sessions for employees on compliance requirements, including age verification, refusing sales techniques, and in-store signage requirements, among others.

3 OBTAIN REQUIRED PERMITS AND LICENSES

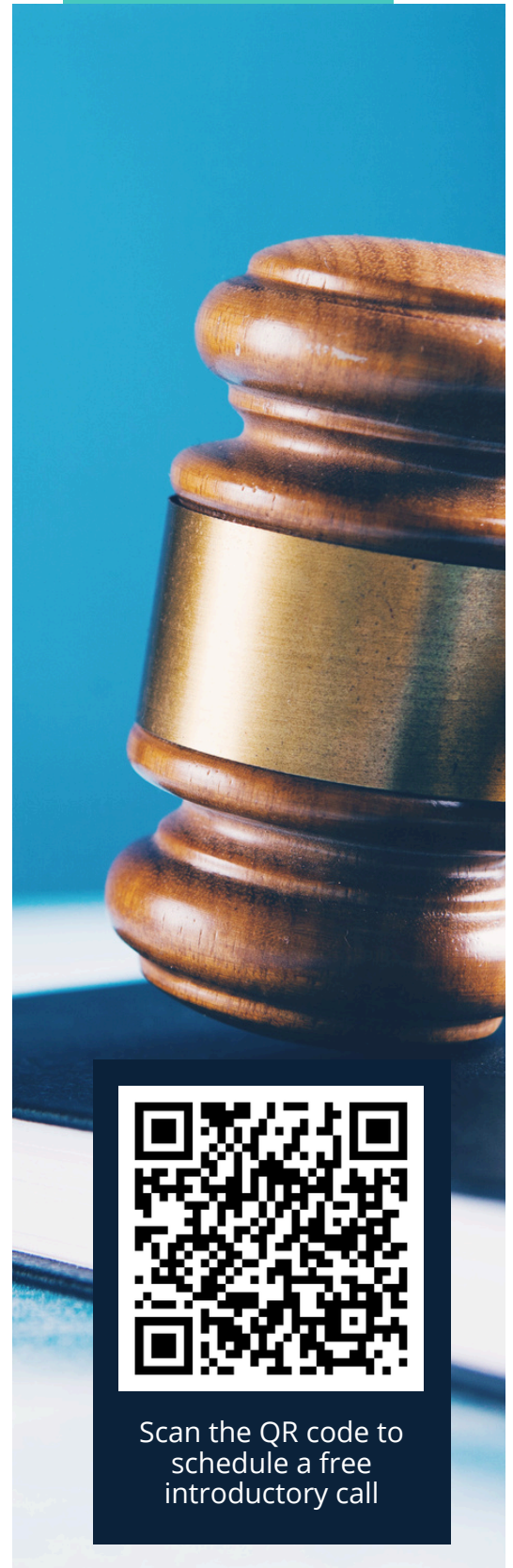
Secure all necessary permits, licenses, and approvals from relevant regulatory agencies before manufacturing, distributing, or selling vapor products.

4 PRODUCT APPROVAL PATHWAYS

Familiarize yourself with the process of Premarket Tobacco Product Applications (PMTA) and other pathways for obtaining approval for vapor products. Understand the requirements for what to include in your application and what to expect during the review process.

5 LABELING AND PACKAGING COMPLIANCE

Ensure that labeling and packaging of vapor products comply with regulatory standards established by FDA and other agencies.



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6 AGE VERIFICATION AND ACCESS RESTRICTIONS

Implement effective age verification measures to prevent underage access to vapor products, in compliance with federal and state regulations. Develop protocols for online sales and retail transactions to enforce age restrictions.

7 MARKETING AND ADVERTISING COMPLIANCE

Adhere to regulations governing the marketing and advertising of vapor products. Ensure that promotional materials do not include false claims and do not contain imagery or language that appeals to minors.

8 IN-STORE SIGNAGE COMPLIANCE

Ensure compliance with regulations requiring specific signage in retail stores selling vapor products. Utilize FDA's *This Is Our Watch* program to access posters, fact sheets, infographics, and more that are free to order or download.

9 PRECORD KEEPING AND DOCUMENTATION

Maintain accurate records of all activities related to the production, distribution, and sale of vapor products. Ensure compliance with applicable federal and state tax record keeping requirements.

10 INSPECTION PREPAREDNESS

Develop protocols and procedures to prepare for regulatory inspections by agencies such as FDA or state and local authorities. Train staff on proper procedures for interacting with inspectors and ensure that all required documentation and records are readily accessible.



Legal Compliance Checklist

Are you ready to demonstrate your compliance? How many of the below can you check off?

1. **Regulatory Compliance (Licenses | Permits | Reports)**
2. **Manufacturing and Quality Assurance**
3. **Sales and Tax Records**
4. **Incident Reports**
5. **Supplier Questionnaires and Contracts**
6. **Employee Records**
7. **Product Testing and Inspection Reports**
8. **Packaging/Labeling**
9. **Training and Certification Records**



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